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**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
Western Division**

Enrique RUBIO,  
  
Plaintiff,  
  
v.  
  
MONSANTO COMPANY,  
  
Defendant.

Civil Case No. 2:15-cv-7426

**COMPLAINT**

**JURY TRIAL DEMANDED**

## **INTRODUCTION**

1  
2 1. In 1970, Defendant Monsanto Company, Inc. discovered the herbicidal  
3 properties of glyphosate and began marketing it in products in 1974 under the  
4 brand name Roundup<sup>®</sup>. Roundup<sup>®</sup> is a non-selective herbicide used to kill weeds  
5 that commonly compete with the growing of crops. By 2001, glyphosate had  
6 become the most-used active ingredient in American agriculture with 85–90  
7 millions of pounds used annually. That number grew to 185 million pounds by  
8 2007.<sup>1</sup> As of 2013, glyphosate was the world's most widely used herbicide.

9 2. Monsanto is a multinational agricultural biotechnology corporation  
10 based in St. Louis, Missouri. It is the world's leading producer of glyphosate. As  
11 of 2009, Monsanto was the world's leading producer of seeds, accounting for 27%  
12 of the world seed market.<sup>2</sup> The majority of these seeds are of the Roundup Ready<sup>®</sup>  
13 brand. The stated advantage of Roundup Ready<sup>®</sup> crops is that they substantially  
14 improve a farmer's ability to control weeds, since glyphosate can be sprayed in the  
15 fields during the growing season without harming their crops. In 2010, an  
16 estimated 70% of corn and cotton, and 90% of soybean fields in the United States  
17 were Roundup Ready<sup>®</sup>.<sup>3</sup>

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20 <sup>1</sup> Arthur Grube et al., U.S. Environmental Protection Agency, *Pesticides*  
21 *Industry Sales and Usage, 2006–2007 Market Estimates* 14 (2011), available at  
22 [http://www.epa.gov/pesticides/pestsales/07pestsales/market\\_estimates2007.pdf](http://www.epa.gov/pesticides/pestsales/07pestsales/market_estimates2007.pdf).

23 <sup>2</sup> ETC Group, *Who Will Control the Green Economy?* 22 (2011), available at  
24 [http://www.etcgroup.org/files/publication/pdf\\_file/ETC\\_wwctge\\_4web\\_Dec2011.p](http://www.etcgroup.org/files/publication/pdf_file/ETC_wwctge_4web_Dec2011.pdf)  
25 [df](http://www.etcgroup.org/files/publication/pdf_file/ETC_wwctge_4web_Dec2011.pdf).

26 <sup>3</sup> William Neuman & Andrew Pollack, *Farmers Cope With Roundup-*  
*Resistant Weeds*, N.Y. Times, May 3, 2010, available at  
[http://www.nytimes.com/2010/05/04/business/energy-](http://www.nytimes.com/2010/05/04/business/energy-environment/04weed.html?pagewan)  
[environment/04weed.html?pagewan](http://www.nytimes.com/2010/05/04/business/energy-environment/04weed.html?pagewan).

3. Monsanto's glyphosate products are registered in 130 countries and approved for use on over 100 different crops.<sup>4</sup> They are ubiquitous in the environment. Numerous studies confirm that glyphosate is found in rivers, streams, and groundwater in agricultural areas where Roundup<sup>®</sup> is used<sup>5</sup>. It has been found in food<sup>6</sup>, in the urine of agricultural workers<sup>7 8</sup>, and even in the urine of urban dwellers who are not in direct contact with glyphosate.<sup>9</sup>

4. On March 20, 2015, the International Agency for Research on Cancer ("IARC"), an agency of the World Health Organization ("WHO"), issued an evaluation of several herbicides, including glyphosate. That evaluation was based,

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<sup>4</sup> Monsanto, *Backgrounder-History of Monsanto's Glyphosate Herbicides* (Sep. 2, 2015), [http://www.monsanto.com/products/documents/glyphosate-background-materials/back\\_history.pdf](http://www.monsanto.com/products/documents/glyphosate-background-materials/back_history.pdf).

<sup>5</sup> See U.S. Geological Survey, *USGS Technical Announcement: Widely Used Herbicide Commonly Found in Rain and Streams in the Mississippi River Basin* (2011), available at <http://www.usgs.gov/newsroom/article.asp?ID=2909>; see also U.S. Env'tl. Prot. Agency, *Technical Factsheet on: Glyphosate*, available at <http://www.epa.gov/safewater/pdfs/factsheets/soc/tech/glyphosa.pdf>.

<sup>6</sup> Thomas Bohn et al., *Compositional Differences in Soybeans on the Market: Glyphosate Accumulates in Roundup Ready GM Soybeans*, 153 *Food Chemistry* 207 (2013), available at <http://www.sciencedirect.com/science/article/pii/S0308814613019201>.

<sup>7</sup> John F. Acquavella et al., *Glyphosate Biomonitoring for Farmers and Their Families: Results from the Farm Family Exposure Study*, 112(3) *Environmental Health Perspectives* 321 (2004), available at <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1241861/>.

<sup>8</sup> Kathryn Z. Guyton et al., *Carcinogenicity of Tetrachlorvinphos, Parathion, Malathion, Diazinon & Glyphosate*, 112 *IARC Monographs* 76, section 5.4 (2015), available at [http://dx.doi.org/10.1016/S1470-2045\(15\)70134-8](http://dx.doi.org/10.1016/S1470-2045(15)70134-8).

<sup>9</sup> Dirk Brändli & Sandra Reinacher, *Herbicides found in Human Urine*, 1 *Ithaca Journal* 270 (2012), available at <http://www.ithaca-journal.net/druckversionen/e052012-herbicides-urine.pdf>.

1 in part, on studies of exposures to glyphosate in several countries around the world,  
2 and it traces the health implications from exposure to glyphosate since 2001.

3 5. On July 29, 2015, IARC issued the formal monograph relating to  
4 glyphosate. In that monograph, the IARC Working Group provides a thorough  
5 review of the numerous studies and data relating to glyphosate exposure in humans.

6 6. The IARC Working Group classified glyphosate as a Group 2A  
7 herbicide, which means that it is probably carcinogenic to humans. The IARC  
8 Working Group concluded that the cancers most associated with glyphosate  
9 exposure are non-Hodgkin lymphoma and other haematopoietic cancers, including  
10 lymphocytic lymphoma/chronic lymphocytic leukemia, B-cell lymphoma, and  
11 multiple myeloma.<sup>10</sup>

12 7. The IARC evaluation is significant. It confirms what has been believed  
13 for years: that glyphosate is toxic to humans.

14 8. Nevertheless, Monsanto, since it began selling Roundup<sup>®</sup>, has  
15 represented it as safe to humans and the environment. Indeed, Monsanto has  
16 repeatedly proclaimed and continues to proclaim to the world, and particularly to  
17 United States consumers, that glyphosate-based herbicides, including Roundup<sup>®</sup>,  
18 create no unreasonable risks to human health or to the environment.

## 19 20 **JURISDICTION AND VENUE**

21 9. Federal diversity jurisdiction in this Court is proper under 28 U.S.C.  
22 § 1332 because Plaintiff Enrique Rubio is a citizen of a different state from the  
23 Defendant Monsanto Company's states of citizenship, and the aggregate amount in  
24 controversy exceeds \$75,000, exclusive of interest and costs.

25 \_\_\_\_\_  
26 <sup>10</sup> See Guyton et al., *Carcinogenicity of Tetrachlorvinphos, Parathion, Malathion, Diazinon & Glyphosate*, *supra*.

10. This Court has personal jurisdiction over Monsanto under C.C.P. § 410, because Monsanto knows or should have known that its Roundup® products are sold throughout the State of California, and, more specifically, caused Roundup® to be sold to Plaintiff's employer in the State of California.

11. In addition, Monsanto maintains sufficient contacts with the State of California such that this Court's exercise of personal jurisdiction over it does not offend traditional notions of fair play and substantial justice.

12. Venue is proper within this District under 28 U.S.C. § 1391 because a substantial part of the events and omissions giving rise to the claims asserted in this Complaint occurred in this District. Further, Monsanto, as a corporate entity, is deemed to reside in any judicial district in which it is subject to personal jurisdiction.

## THE PARTIES

**Plaintiff**

13. Plaintiff Enrique Rubio resides in Pueblo, Colorado. On information and belief, Mr. Rubio was exposed to Roundup® in Oregon from around 1986 to 1988, where he picked vegetables, and in Fillmore, California from in or around 1988 through 1993. On information and belief, Mr. Rubio was again exposed to Roundup® in El Paso, Texas, from in or around 1993 through 1995.

## Defendant

14. Defendant Monsanto Company (“Monsanto”) is a Delaware corporation with its headquarters and principal place of business in St. Louis, Missouri.

15. At all times relevant to this complaint, Monsanto was the entity that discovered the herbicidal properties of glyphosate and the manufacturer of Roundup<sup>®</sup>.

## FACTS

16. Glyphosate is a broad-spectrum, non-selective herbicide used in a wide variety of herbicidal products around the world.

17. Plants treated with glyphosate translocate the systemic herbicide to their roots, shoot regions and fruit, where it interferes with the plant's ability to form aromatic amino acids necessary for protein synthesis. Treated plants generally die within two to three days. Because plants absorb glyphosate, it cannot be completely removed by washing or peeling produce or by milling, baking, or brewing grains.

18. For nearly 40 years, farms across the world have used Roundup<sup>®</sup> without knowing of the dangers its use poses. That is because when Monsanto first introduced Roundup<sup>®</sup>, it touted glyphosate as a technological breakthrough: it could kill almost every weed without causing harm either to people or to the environment. Of course, history has shown that not to be true. According to the WHO, the main chemical ingredient of Roundup<sup>®</sup>—glyphosate—is a probable cause of cancer. Those most at risk are farm workers and other individuals with workplace exposure to Roundup<sup>®</sup>, such as workers in garden centers, nurseries, and landscapers. Agricultural workers are, once again, victims of corporate greed. Monsanto assured the public that Roundup<sup>®</sup> was harmless. In order to prove this, Monsanto championed falsified data and attacked legitimate studies that revealed its dangers. Monsanto led a prolonged campaign of misinformation to convince government agencies, farmers and the general population that Roundup<sup>®</sup> was safe.

1                   ***The Discovery of Glyphosate and Development of Roundup<sup>®</sup>***

2           19.    The herbicidal properties of glyphosate were discovered in 1970 by  
3 Monsanto chemist John Franz. The first glyphosate-based herbicide was introduced  
4 to the market in the mid-1970s under the brand name Roundup<sup>®</sup>.<sup>11</sup> From the outset,  
5 Monsanto marketed Roundup<sup>®</sup> as a “safe” general-purpose herbicide for  
6 widespread commercial and consumer use. It still markets Roundup<sup>®</sup> as safe  
7 today.<sup>12</sup>

8  
9                   ***Registration of Herbicides under Federal Law***

10          20.    The manufacture, formulation and distribution of herbicides, such as  
11 Roundup<sup>®</sup>, are regulated under the Federal Insecticide, Fungicide, and Rodenticide  
12 Act (“FIFRA” or “Act”), 7 U.S.C. § 136 *et seq.* FIFRA requires that all pesticides  
13 be registered with the Environmental Protection Agency (“EPA” or “Agency”)  
14 prior to their distribution, sale, or use, except as described by the Act. 7 U.S.C.  
15 § 136a(a)

16          21.    Because pesticides are toxic to plants, animals, and humans, at least to  
17 some degree, the EPA requires as part of the registration process, among other  
18 things, a variety of tests to evaluate the potential for exposure to pesticides, toxicity  
19 to people and other potential non-target organisms, and other adverse effects on the  
20 environment. Registration by the EPA, however, is not an assurance or finding of  
21 safety. The determination the Agency must make in registering or re-registering a  
22 product is not that the product is “safe,” but rather that use of the product in

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24                   <sup>11</sup> Monsanto, *Backgrounder, History of Monsanto’s Glyphosate Herbicide*  
25 (Sep. 2, 2015), [http://www.monsanto.com/products/documents/glyphosate-](http://www.monsanto.com/products/documents/glyphosate-background-materials/back_history.pdf)  
26 [background-materials/back\\_history.pdf](http://www.monsanto.com/products/documents/glyphosate-background-materials/back_history.pdf).

<sup>12</sup> Monsanto, *What is Glyphosate?* (Sep. 2, 2015),  
<http://www.monsanto.com/sitecollectiondocuments/glyphosate-safety-health.pdf>.



1 accordance with its label directions “will not generally cause unreasonable adverse  
2 effects on the environment.” 7 U.S.C. § 136a(c)(5)(D).

3 22. FIFRA defines “unreasonable adverse effects on the environment” to  
4 mean “any unreasonable risk to man or the environment, taking into account the  
5 economic, social, and environmental costs and benefits of the use of any pesticide.”  
6 7 U.S.C. § 136(bb). FIFRA thus requires EPA to make a risk/benefit analysis in  
7 determining whether a registration should be granted or allowed to continue to be  
8 sold in commerce.

9 23. The EPA and the State of California registered Roundup<sup>®</sup> for  
10 distribution, sale, and manufacture in the United States and the State of California.

11 24. FIFRA generally requires that the registrant, Monsanto in the case of  
12 Roundup<sup>®</sup>, conducts the health and safety testing of pesticide products. The EPA  
13 has protocols governing the conduct of tests required for registration and the  
14 laboratory practices that must be followed in conducting these tests. The data  
15 produced by the registrant must be submitted to the EPA for review and evaluation.  
16 The government is not required, nor is it able, however, to perform the product tests  
17 that are required of the manufacturer.

18 25. The evaluation of each pesticide product distributed, sold, or  
19 manufactured is completed at the time the product is initially registered. The data  
20 necessary for registration of a pesticide has changed over time. The EPA is now in  
21 the process of re-evaluating all pesticide products through a Congressionally-  
22 mandated process called “re-registration.” 7 U.S.C. § 136a-1. In order to  
23 reevaluate these pesticides, the EPA is demanding the completion of additional tests  
24 and the submission of data for the EPA’s review and evaluation.

25 26. In the case of glyphosate, and therefore Roundup<sup>®</sup>, the EPA had  
26 planned on releasing its preliminary risk assessment—in relation to the



1 reregistration process—no later than July 2015. The EPA completed its review of  
2 glyphosate in early 2015, but it delayed releasing the risk assessment pending  
3 further review in light of the WHO’s health-related findings.

4  
5 ***Scientific Fraud Underlying the Marketing and Sale of Glyphosate/Roundup***

6 27. Based on early studies that glyphosate could cause cancer in laboratory  
7 animals, the EPA originally classified glyphosate as *possibly carcinogenic to*  
8 *humans* (Group C) in 1985. After pressure from Monsanto, including contrary  
9 studies it provided to the EPA, the EPA changed its classification to *evidence of*  
10 *non-carcinogenicity in humans* (Group E) in 1991. In so classifying glyphosate,  
11 however, the EPA made clear that the designation did not mean the chemical does  
12 not cause cancer: “It should be emphasized, however, that designation of an agent  
13 in Group E is based on the available evidence at the time of evaluation and should  
14 not be interpreted as a definitive conclusion that the agent will not be a carcinogen  
15 under any circumstances.”<sup>13</sup>

16 28. On two occasions, the EPA found that the laboratories hired by  
17 Monsanto to test the toxicity of its Roundup<sup>®</sup> products for registration purposes  
18 committed fraud.

19 29. In the first instance, Monsanto, in seeking initial registration of  
20 Roundup<sup>®</sup> by EPA, hired Industrial Bio-Test Laboratories (“IBT”) to perform and  
21 evaluate pesticide toxicology studies relating to Roundup<sup>®</sup>.<sup>14</sup> IBT performed about

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22 <sup>13</sup> U.S. Env’tl. Prot. Agency, *Memorandum, Subject: SECOND Peer Review*  
23 *of Glyphosate 1* (1991), available at  
24 [http://www.epa.gov/pesticides/chem\\_search/cleared\\_reviews/csr\\_PC-103601\\_30-](http://www.epa.gov/pesticides/chem_search/cleared_reviews/csr_PC-103601_30-Oct-91_265.pdf)  
25 [Oct-91\\_265.pdf](http://www.epa.gov/pesticides/chem_search/cleared_reviews/csr_PC-103601_30-Oct-91_265.pdf).

26 <sup>14</sup> Monsanto, *Backgrounder, Testing Fraud: IBT and Craven Laboratories*  
(Sep. 2, 2015), [http://www.monsanto.com/products/documents/glyphosate-](http://www.monsanto.com/products/documents/glyphosate-background-materials/ibt_craven_bkg.pdf)  
[background-materials/ibt\\_craven\\_bkg.pdf](http://www.monsanto.com/products/documents/glyphosate-background-materials/ibt_craven_bkg.pdf).

1 30 tests on glyphosate and glyphosate-containing products, including nine of the 15  
2 residue studies needed to register Roundup®.

3 30. In 1976, the United States Food and Drug Administration (“FDA”)   
4 performed an inspection of Industrial Bio-Test Industries (“IBT”) that revealed   
5 discrepancies between the raw data and the final report relating to the toxicological   
6 impacts of glyphosate. The EPA subsequently audited IBT; it too found the   
7 toxicology studies conducted for the Roundup® herbicide to be invalid.<sup>15</sup> An EPA   
8 reviewer stated, after finding “routine falsification of data” at IBT, that it was “hard   
9 to believe the scientific integrity of the studies when they said they took specimens   
10 of the uterus from male rabbits.”<sup>16</sup>

11 31. Three top executives of IBT were convicted of fraud in 1983.

12 32. In the second incident of data falsification, Monsanto hired Craven   
13 Laboratories in 1991 to perform pesticide and herbicide studies, including for   
14 Roundup®. In that same year, the owner of Craven Laboratories and three of its   
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16 <sup>15</sup> U.S. Env'tl. Prot. Agency, *Summary of the IBT Review Program Office of*  
17 *Pesticide Programs* (1983), available at  
18 <http://nepis.epa.gov/Exe/ZyNET.exe/91014ULV.TXT?ZyActionD=ZyDocument&Client=EPA&Index=1981+Thru+1985&Docs=&Query=&Time=&EndTime=&SearchMethod=1&TocRestrict=n&Toc=&TocEntry=&QField=&QFieldYear=&QFieldMonth=&QFieldDay=&IntQFieldOp=0&ExtQFieldOp=0&XmlQuery=&File=D%3A%5Czyfiles%5CIndex%20Data%5C81thru85%5CTxt%5C00000022%5C91014ULV.txt&User=ANONYMOUS&Password=anonymous&SortMethod=h%7C-&MaximumDocuments=1&FuzzyDegree=0&ImageQuality=r75g8/r75g8/x150y150g16/i425&Display=p%7Cf&DefSeekPage=x&SearchBack=ZyActionL&Back=ZyActionS&BackDesc=Results%20page&MaximumPages=1&ZyEntry=1&SeekPage=x&ZyPURL>.  
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25 <sup>16</sup> Marie-Monique Robin, *The World According to Monsanto: Pollution,*  
26 *Corruption and the Control of the World's Food Supply* (2011) (citing U.S. Env'tl. Prot. Agency, *Data Validation, Memo from K. Locke, Toxicology Branch, to R. Taylor, Registration Branch. Washington, D.C. (August 9, 1978)).*

1 employees were indicted, and later convicted, of fraudulent laboratory practices in  
2 the testing of pesticides and herbicides.<sup>17</sup>

3 33. Despite the falsity of the tests that underlie its registration, within a  
4 few years of its launch, Monsanto was marketing Roundup® in 115 countries.

5  
6 ***The Importance of Roundup® to Monsanto's Market Dominance Profits***

7 34. The success of Roundup® was key to Monsanto's continued reputation  
8 and dominance in the marketplace. Largely due to the success of Roundup® sales,  
9 Monsanto's agriculture division was out-performing its chemicals division's  
10 operating income, and that gap increased yearly. But with its patent for glyphosate  
11 expiring in the United States in the year 2000, Monsanto needed a strategy to  
12 maintain its Roundup® market dominance and to ward off impending competition.

13 35. In response, Monsanto began the development and sale of genetically  
14 engineered Roundup Ready® seeds in 1996. Since Roundup Ready® crops are  
15 resistant to glyphosate; farmers can spray Roundup® onto their fields during the  
16 growing season without harming the crop. This allowed Monsanto to expand its  
17 market for Roundup® even further; by 2000, Monsanto's biotechnology seeds were  
18 planted on more than 80 million acres worldwide and nearly 70% of American  
19 soybeans were planted from Roundup Ready® seeds. It also secured Monsanto's  
20 dominant share of the glyphosate/Roundup® market through a marketing strategy  
21 that coupled proprietary Roundup Ready® seeds with continued sales of its  
22 Roundup® herbicide.

23 36. Through a three-pronged strategy of increased production, decreased  
24 prices and by coupling with Roundup Ready® seeds, Roundup® became Monsanto's

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26 <sup>17</sup> Monsanto, *Backgrounder, Testing Fraud: IBT and Craven Laboratories*,  
*supra*.

1 most profitable product. In 2000, Roundup<sup>®</sup> accounted for almost \$2.8 billion in  
2 sales, outselling other herbicides by a margin of five to one, and accounting for  
3 close to half of Monsanto's revenue.<sup>18</sup> Today, glyphosate remains one of the  
4 world's largest herbicides by sales volume.

5  
6 ***Monsanto has known for decades that it falsely advertises the safety of Roundup<sup>®</sup>***

7 37. In 1996, the New York Attorney General ("NYAG") filed a lawsuit  
8 against Monsanto based on its false and misleading advertising of Roundup<sup>®</sup>  
9 products. Specifically, the lawsuit challenged Monsanto's general representations  
10 that its spray-on glyphosate-based herbicides, including Roundup<sup>®</sup>, were "**safer**  
11 **than table salt**" and "**practically non-toxic**" to mammals, birds, and fish. Among  
12 the representations the NYAG found deceptive and misleading about the human  
13 and environmental safety of Roundup<sup>®</sup> are the following:

14 a) Remember that environmentally friendly Roundup  
15 herbicide is biodegradable. It won't build up in the soil so  
16 you can use Roundup with confidence along customers'  
17 driveways, sidewalks and fences ...

18 b) And remember that Roundup is biodegradable and  
19 won't build up in the soil. That will give you the  
20 environmental confidence you need to use Roundup  
21 everywhere you've got a weed, brush, edging or trimming  
22 problem.

23 c) Roundup biodegrades into naturally occurring  
24 elements.

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25 <sup>18</sup> David Barboza, *The Power of Roundup; A Weed Killer Is A Block for*  
26 *Monsanto to Build On*, N.Y. Times, Aug. 2, 2001, available at  
<http://www.nytimes.com/2001/08/02/business/the-power-of-roundup-a-weed-killer-is-a-block-for-monsanto-to-build-on.html>.

1 d) Remember that versatile Roundup herbicide stays  
2 where you put it. That means there's no washing or  
3 leaching to harm customers' shrubs or other desirable  
vegetation.

4 e) This non-residual herbicide will not wash or leach in  
5 the soil. It ... stays where you apply it.

6 f) You can apply Accord with “ confidence because it  
7 will stay where you put it” it bonds tightly to soil  
8 particles, preventing leaching. Then, soon after  
9 application, soil microorganisms biodegrade Accord into  
natural products.

10 g) Glyphosate is less toxic to rats than table salt  
11 following acute oral ingestion.

12 h) Glyphosate's safety margin is much greater than  
13 required. It has over a 1,000-fold safety margin in food  
14 and over a 700-fold safety margin for workers who  
manufacture it or use it.

15 i) You can feel good about using herbicides by  
16 Monsanto. They carry a toxicity category rating of  
17 'practically non-toxic' as it pertains to mammals, birds  
18 and fish.

19 j) “Roundup can be used where kids and pets will play  
20 and breaks down into natural material.” This ad depicts a  
21 person with his head in the ground and a pet dog standing  
in an area which has been treated with Roundup.<sup>19</sup>

22 38. On November 19, 1996, Monsanto entered into an Assurance of  
23 Discontinuance with NYAG, in which Monsanto agreed, among other things, “to  
24

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25 <sup>19</sup> Attorney General of the State of New York, In the Matter of Monsanto  
26 Company, Assurance of Discontinuance Pursuant to Executive Law § 63(15) (Nov.  
1996).

1 cease and desist from publishing or broadcasting any advertisements [in New York]  
2 that represent, directly or by implication" that:

3 a) its glyphosate-containing pesticide products or any  
4 component thereof are safe, non-toxic, harmless or free  
5 from risk.

6 \* \* \*

7 b) its glyphosate-containing pesticide products or any  
8 component thereof manufactured, formulated, distributed  
9 or sold by Monsanto are biodegradable

10 \* \* \*

11 c) its glyphosate-containing pesticide products or any  
12 component thereof stay where they are applied under all  
13 circumstances and will not move through the  
14 environment by any means.

15 \* \* \*

16 d) its glyphosate-containing pesticide products or any  
17 component thereof are "good" for the environment or are  
18 "known for their environmental characteristics."

19 \* \* \*

20 e) glyphosate-containing pesticide products or any  
21 component thereof are safer or less toxic than common  
22 consumer products other than herbicides;

23 f) its glyphosate-containing products or any component  
24 thereof might be classified as "practically non-toxic."

25 39. Monsanto did not alter its advertising in the same manner in any state  
26 other than New York, and on information and belief still has not done so today.

1           40. In 2009, France's highest court ruled that Monsanto had not told the  
2 truth about the safety of Roundup<sup>®</sup>. The French court affirmed an earlier  
3 judgement that Monsanto had falsely advertised its herbicide Roundup<sup>®</sup> as  
4 "biodegradable" and that it "left the soil clean."<sup>20</sup>

5  
6                           *Classifications and Assessments of Glyphosate*

7           41. The IARC process for the classification of glyphosate followed the  
8 stringent procedures for the evaluation of a chemical agent. Over time, the IARC  
9 Monograph program has reviewed 980 agents. Of those reviewed, it has  
10 determined 116 agents to be Group 1 (Known Human Carcinogens); 73 agents to  
11 be Group 2A (Probable Human Carcinogens); 287 agents to be Group 2B (Possible  
12 Human Carcinogens); 503 agents to be Group 3 (Not Classified); and one agent to  
13 be Probably Not Carcinogenic.

14           42. The established procedure for IARC Monograph evaluations is  
15 described in the IARC Programme's Preamble.<sup>21</sup> Evaluations are performed by  
16 panels of international experts, selected on the basis of their expertise and the  
17 absence of actual or apparent conflicts of interest.

18           43. One year before the Monograph meeting, the meeting is announced  
19 and there is a call both for data and for experts. Eight months before the  
20 Monograph meeting, the Working Group membership is selected and the sections  
21 of the Monograph are developed by the Working Group members. One month  
22 prior to the Monograph meeting, the call for data is closed and the various draft

23  
24                           <sup>20</sup> *Monsanto Guilty in 'False Ad' Row*, BBC, Oct. 15, 2009, available at  
<http://news.bbc.co.uk/2/hi/europe/8308903.stm>.

25                           <sup>21</sup> World Health Organization, *IARC Monographs on the Evaluation of*  
26 *Carcinogenic Risks to Humans: Preamble* (2006), available at  
<http://monographs.iarc.fr/ENG/Preamble/CurrentPreamble.pdf>.



1 sections are distributed among Working Group members for review and comment.  
2 Finally, at the Monograph meeting, the Working Group finalizes review of all  
3 literature, evaluates the evidence in each category, and completes the overall  
4 evaluation. Within two weeks after the Monograph meeting, the summary of the  
5 Working Group findings are published in *Lancet Oncology*, and within a year after  
6 the meeting, the final Monograph is finalized and published.

7 44. In assessing an agent, the IARC Working Group reviews the following  
8 information: (a) human, experimental, and mechanistic data; (b) all pertinent  
9 epidemiological studies and cancer bioassays; and (c) representative mechanistic  
10 data. The studies must be publicly available and have sufficient detail for  
11 meaningful review, and reviewers cannot be associated with the underlying study.

12 45. In March 2015, IARC reassessed glyphosate. The summary published  
13 in *The Lancet Oncology* reported that glyphosate is a Group 2A agent and probably  
14 carcinogenic in humans.

15 46. On July 29, 2015, IARC issued its Monograph for glyphosate,  
16 Monograph 112. For Volume 112, the volume that assessed glyphosate, a Working  
17 Group of 17 experts from 11 countries met at IARC from March 3–10, 2015, to  
18 assess the carcinogenicity of certain herbicides, including glyphosate. The March  
19 meeting culminated nearly a one-year review and preparation by the IARC  
20 Secretariat and the Working Group, including a comprehensive review of the latest  
21 available scientific evidence. According to published procedures, the Working  
22 Group considered “reports that have been published or accepted for publication in  
23 the openly available scientific literature” as well as “data from governmental  
24 reports that are publicly available.”

25 47. The studies considered the following exposure groups: occupational  
26 exposure of farmers and tree nursery workers in the United States, forestry workers

1 in Canada and Finland and municipal weed-control workers in the United  
2 Kingdom; and para-occupational exposure in farming families.

3 48. Glyphosate was identified as the second-most used household  
4 herbicide in the United States for weed control between 2001 and 2007 and the  
5 most heavily used herbicide in the world in 2012.

6 49. Exposure pathways are identified as air (especially during spraying),  
7 water, and food. Community exposure to glyphosate is widespread and found in  
8 soil, air, surface water, and groundwater, as well as in food.

9 50. The assessment of the IARC Working Group identified several case  
10 control studies of occupational exposure in the United States, Canada, and Sweden.  
11 These studies show a human health concern from agricultural and other work-  
12 related exposure to glyphosate.

13 51. The IARC Working Group found an increased risk between exposure  
14 to glyphosate and non-Hodgkin lymphoma (“NHL”) and several subtypes of NHL,  
15 and the increased risk persisted after adjustment for other pesticides.

16 52. The IARC Working Group also found that glyphosate caused DNA  
17 and chromosomal damage in human cells. One study in community residents  
18 reported increases in blood markers of chromosomal damage (micronuclei) after  
19 glyphosate formulations were sprayed.

20 53. In male CD-1 mice, glyphosate induced a positive trend in the  
21 incidence of a rare tumor, renal tubule carcinoma. A second study reported a  
22 positive trend for haemangiosarcoma in male mice. Glyphosate increased  
23 pancreatic islet-cell adenoma in male rats in two studies. A glyphosate formulation  
24 promoted skin tumors in an initiation-promotion study in mice.

25 54. The IARC Working Group also noted that glyphosate has been  
26 detected in the urine of agricultural workers, indicating absorption. Soil microbes

1 degrade glyphosate to aminomethylphosphoric acid (AMPA). Blood AMPA  
2 detection after exposure suggests intestinal microbial metabolism in humans.

3 55. The IARC Working Group further found that glyphosate and  
4 glyphosate formulations induced DNA and chromosomal damage in mammals, and  
5 in human and animal cells in utero.

6 56. The IARC Working Group also noted genotoxic, hormonal, and  
7 enzymatic effects in mammals exposed to glyphosate.<sup>22</sup> Essentially, glyphosate  
8 inhibits the biosynthesis of aromatic amino acids, which leads to several metabolic  
9 disturbances, including the inhibition of protein and secondary product  
10 biosynthesis<sup>23</sup> and general metabolic disruption.<sup>24</sup>

11 57. The IARC Working Group also reviewed an Agricultural Health  
12 Study, consisting of a prospective cohort of 57,311 licensed pesticide applicators in  
13 Iowa and North Carolina. While this study differed from others in that it was  
14 based on a self-administered questionnaire, the results support an association  
15 between glyphosate exposure and Multiple Myeloma, Hairy Cell Leukemia (HCL),  
16 and Chronic Lymphocytic Leukemia (CLL), in addition to several other cancers.

17  
18 ***Other Earlier Findings About Glyphosate's Dangers to Human Health***

19 58. The EPA has a technical fact sheet, as part of its Drinking Water and  
20 Health, National Primary Drinking Water Regulations publication, relating to  
21 glyphosate. This technical fact sheet predates the IARC March 20, 2015,  
22 evaluation. The fact sheet describes the release patterns for glyphosate as follows:

23  
24 <sup>22</sup> Guyton et al., *Carcinogenicity of Tetrachlorvinphos, Parathion, Malathion,*  
25 *Diazinon & Glyphosate, supra* at 77.

## Release Patterns

Glyphosate is released to the environment in its use as a herbicide for controlling woody and herbaceous weeds on forestry, right-of-way, cropped and non-cropped sites. These sites may be around water and in wetlands.

It may also be released to the environment during its manufacture, formulation, transport, storage, disposal and cleanup, and from spills. Since glyphosate is not a listed chemical in the Toxics Release Inventory, data on releases during its manufacture and handling are not available.

Occupational workers and home gardeners may be exposed to glyphosate by inhalation and dermal contact during spraying, mixing, and cleanup. They may also be exposed by touching soil and plants to which glyphosate was applied. Occupational exposure may also occur during glyphosate's manufacture, transport storage, and disposal.<sup>25</sup>

59. In 1995, the Northwest Coalition for Alternatives to Pesticides reported that in California, the state with the most comprehensive program for reporting of pesticide-caused illness, glyphosate was the third most commonly-reported cause of pesticide illness among agricultural workers.<sup>26</sup>

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<sup>25</sup> U.S. Env'tl. Prot. Agency, *Technical Factsheet on: Glyphosate*, *supra*.

<sup>26</sup> Caroline Cox, *Glyphosate, Part 2: Human Exposure and Ecological Effects*, 15 J. Pesticide Reform 4 (1995); W.S. Peas et al., *Preventing pesticide-related illness in California agriculture: Strategies and priorities. Environmental Health Policy Program Report*, Univ. of Cal. School of Public Health, Calif. Policy Seminar (1993).

***Recent Worldwide Bans on Roundup®/Glyphosate***

60. Several countries around the world have instituted bans on the sale of Roundup® and other glyphosate-containing herbicides, both before and since IARC first announced its assessment for glyphosate in March 2015, and more countries undoubtedly will follow suit in light of the as the dangers of the use of Roundup® are more widely known. The Netherlands issued a ban on all glyphosate-based herbicides in April 2014, including Roundup®, which takes effect by the end of 2015. In issuing the ban, the Dutch Parliament member who introduced the successful legislation stated: “Agricultural pesticides in user-friendly packaging are sold in abundance to private persons. In garden centers, Roundup® is promoted as harmless, but unsuspecting customers have no idea what the risks of this product are. Especially children are sensitive to toxic substances and should therefore not be exposed to it.”<sup>27</sup>

61. The Brazilian Public Prosecutor in the Federal District requested that the Brazilian Justice Department suspend the use of glyphosate.<sup>28</sup>

62. France banned the private sale of Roundup® and glyphosate following the IARC assessment for Glyphosate.<sup>29</sup>

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<sup>27</sup> *Holland’s Parliament Bans Glyphosate Herbicides*, The Real Agenda, April 14, 2014, available at <http://real-agenda.com/hollands-parliament-bans-glyphosate-herbicides/>.

<sup>28</sup> Christina Sarich, *Brazil’s Public Prosecutor Wants to Ban Monsanto’s Chemicals Following Recent Glyphosate-Cancer Link*, Global Research, May 14, 2015, available at <http://www.globalresearch.ca/brazils-public-prosecutor-wants-to-ban-monsantos-chemicals-following-recent-glyphosate-cancer-link/5449440>; see Ministério Público Federal, *MPF/DF reforça pedido para que glifosato seja banido do mercado nacional*, April, 14, 2015, available at [http://noticias.pgr.mpf.mp.br/noticias/noticias-do-site/copy\\_of\\_meio-ambiente-e-patrimonio-cultural/mpf-df-reforca-pedido-para-que-glifosato-seja-banido-do-mercado-nacional](http://noticias.pgr.mpf.mp.br/noticias/noticias-do-site/copy_of_meio-ambiente-e-patrimonio-cultural/mpf-df-reforca-pedido-para-que-glifosato-seja-banido-do-mercado-nacional).

63. Bermuda banned both the private and commercial sale of glyphosates, including Roundup<sup>®</sup>. The Bermuda government explained its ban as follows: “Following a recent scientific study carried out by a leading cancer agency, the importation of weed spray ‘Roundup’ has been suspended.”<sup>30</sup>

64. The Sri Lankan government banned the private and commercial use of glyphosates, particularly out of concern that Glyphosate has been linked to fatal kidney disease in agricultural workers.<sup>31</sup>

65. The government of Columbia announced its ban on using Roundup<sup>®</sup> and glyphosate to destroy illegal plantations of coca, the raw ingredient for cocaine, because of the WHO’s finding that glyphosate is probably carcinogenic.<sup>32</sup>

### ***Plaintiff’s Exposure to Roundup<sup>®</sup>***

66. Plaintiff Enrique Rubio is 58 years old and began working in agriculture in or around 1986 in Oregon, where he picked vegetables for about two years. In 1988, he started working in Fillmore, California at California Water Cress

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<sup>29</sup> Zoe Schlanger, *France Bans Sales of Monsanto’s Roundup in Garden Centers, 3 Months After U.N. Calls it ‘Probable Carcinogen’*, Newsweek, June 15, 2015, available at <http://www.newsweek.com/france-bans-sale-monsantos-roundup-garden-centers-after-un-names-it-probable-343311>.

<sup>30</sup> *Health Minister: Importation of Roundup Weed Spray Suspended*, Today in Bermuda, May, 11 2015, available at <http://www.todayinbermuda.com/news/health/item/1471-health-minister-importation-of-roundup-weed-spray-suspended>.

<sup>31</sup> *Sri Lanka’s New President Puts Immediate Ban on Glyphosate Herbicides*, Sustainable Pulse, May 25, 2015, available at <http://sustainablepulse.com/2015/05/25/sri-lankas-new-president-puts-immediate-ban-on-glyphosate-herbicides/#.VeduYk3bKAw>.

<sup>32</sup> *Columbia to ban coca spraying herbicide glyphosate*, BBC, May 10, 2015, available at <http://www.bbc.com/news/world-latin-america-32677411>.

1 Inc. Mr. Rubio worked at this location between 1988 and 1993, during which time  
2 he worked in the fields on strawberry, cucumber, and other vegetable crops. His  
3 duties involved spraying the fields, weeds, and bugs with Roundup<sup>®</sup> and other  
4 pesticides or chemicals. As an applicator, Mr. Rubio drove a tractor, wore a  
5 backpack, and also utilized a hand pump to spray Roundup. During application, his  
6 protection was limited to a paper face mask. Mr. Rubio sprayed two days per week  
7 and all year.

8 67. Mr. Rubio subsequently moved to El Paso, Texas, for work where he  
9 worked at Sangro between 1993 and 1995. There, Mr. Rubio also worked as an  
10 applicator, and sprayed onion and other vegetable fields. Again, Mr. Rubio sprayed  
11 Roundup once or twice per week all year. However, the frequency at which he  
12 sprayed Roundup in Texas was lower than while he worked in California.

13 68. In 1995, Mr. Rubio was diagnosed with bone cancer but he is not  
14 aware of the type of cancer he has. Since his diagnosis, Mr. Rubio has moved from  
15 Texas to Colorado to live with his nephew. As a result of his illness, Mr. Rubio has  
16 been out of work and subsists on government benefits.

17  
18 **CLAIM ONE**

19 **STRICT LIABILITY (DESIGN DEFECT)**

20 69. Plaintiff incorporates by reference each and every allegation set forth  
21 in the preceding paragraphs as if fully stated herein.

22 70. Plaintiff brings this strict liability claim against Defendant for  
23 defective design.

24 71. At all times relevant to this litigation, Defendant engaged in the  
25 business of testing, developing, designing, manufacturing, marketing, selling,  
26 distributing, and promoting Roundup<sup>®</sup> products, which are defective and



1 unreasonably dangerous to consumers, including Plaintiff, thereby placing  
2 Roundup<sup>®</sup> products into the stream of commerce. These actions were under the  
3 ultimate control and supervision of Defendant. At all times relevant to this  
4 litigation, Defendant designed, researched, developed, manufactured, produced,  
5 tested, assembled, labeled, advertised, promoted, marketed, sold, and distributed the  
6 Roundup<sup>®</sup> products used by the Plaintiff, as described above.

7 72. At all times relevant to this litigation, Defendant's Roundup<sup>®</sup> products  
8 were manufactured, designed, and labeled in an unsafe, defective, and inherently  
9 dangerous manner that was dangerous for use by or exposure to the public, and, in  
10 particular, the Plaintiff.

11 73. At all times relevant to this litigation, Defendant's Roundup<sup>®</sup> products  
12 reached the intended consumers, handlers, and users or other persons coming into  
13 contact with these products in New York and throughout the United States,  
14 including Plaintiff, without substantial change in their condition as designed,  
15 manufactured, sold, distributed, labeled, and marketed by Defendant.

16 74. Defendant's Roundup<sup>®</sup> products, as researched, tested, developed,  
17 designed, licensed, manufactured, packaged, labeled, distributed, sold, and  
18 marketed by Defendant were defective in design and formulation in that when they  
19 left the hands of the Defendant's manufacturers and/or suppliers, they were  
20 unreasonably dangerous and dangerous to an extent beyond that which an ordinary  
21 consumer would contemplate.

22 75. Defendant's Roundup<sup>®</sup> products, as researched, tested, developed,  
23 designed, licensed, manufactured, packaged, labeled, distributed, sold, and  
24 marketed by Defendant were defective in design and formulation in that when they  
25 left the hands of Defendant's manufacturers and/or suppliers, the foreseeable risks  
26 exceeded the alleged benefits associated with their design and formulation.

1           76. At all times relevant to this action, Defendant knew or had reason to  
2 know that its Roundup<sup>®</sup> products were defective and were inherently dangerous and  
3 unsafe when used in the manner instructed and provided by Defendant.

4           77. Therefore, at all times relevant to this litigation, Defendant's  
5 Roundup<sup>®</sup> products, as researched, tested, developed, designed, licensed,  
6 manufactured, packaged, labeled, distributed, sold and marketed by Defendant were  
7 defective in design and formulation, in one or more of the following ways:

8                   a. When placed in the stream of commerce, Defendant's  
9 Roundup<sup>®</sup> products were defective in design and formulation, and,  
10 consequently, dangerous to an extent beyond that which an ordinary  
11 consumer would contemplate.

12                   b. When placed in the stream of commerce, Defendant's  
13 Roundup<sup>®</sup> products were unreasonably dangerous in that they were  
14 hazardous and posed a grave risk of cancer and other serious illnesses  
15 when used in a reasonably anticipated manner.

16                   c. When placed in the stream of commerce, Defendant's  
17 Roundup<sup>®</sup> products contained unreasonably dangerous design defects  
18 and were not reasonably safe when used in a reasonably anticipated or  
19 intended manner.

20                   d. Defendant did not sufficiently test, investigate, or study  
21 its Roundup<sup>®</sup> products and, specifically, the active ingredient  
22 glyphosate.

23                   e. Exposure to Roundup<sup>®</sup> and glyphosate-containing  
24 products presents a risk of harmful side effects that outweigh any  
25 potential utility stemming from the use of the herbicide.  
26

1 f. Defendant knew or should have known at the time of  
2 marketing its Roundup<sup>®</sup> products that exposure to Roundup<sup>®</sup> and  
3 specifically, its active ingredient glyphosate, could result in cancer and  
4 other severe illnesses and injuries.

5 g. Defendant did not conduct adequate post-marketing  
6 surveillance of its Roundup<sup>®</sup> products.

7 h. Defendant could have employed safer alternative designs  
8 and formulations.

9 78. Plaintiff was exposed to Defendant's Roundup<sup>®</sup> products in the course  
10 of her employment as a horticultural worker, as described above, without  
11 knowledge of their dangerous characteristics.

12 79. At all times relevant to this litigation, Plaintiff used and/or was  
13 exposed to the use of Defendant's Roundup<sup>®</sup> products in an intended or reasonably  
14 foreseeable manner without knowledge of their dangerous characteristics.

15 80. Plaintiff could not have reasonably discovered the defects and risks  
16 associated with Roundup<sup>®</sup> or glyphosate-containing products before or at the time  
17 of exposure.

18 81. The harm caused by Defendant's Roundup<sup>®</sup> products far outweighed  
19 their benefit, rendering Defendant's products dangerous to an extent beyond that  
20 which an ordinary consumer would contemplate. Defendant's Roundup<sup>®</sup> products  
21 were and are more dangerous than alternative products and Defendant could have  
22 designed its Roundup<sup>®</sup> products to make them less dangerous. Indeed, at the time  
23 that Defendant designed its Roundup<sup>®</sup> products, the state of the industry's scientific  
24 knowledge was such that a less risky design or formulation was attainable.

25 82. At the time Roundup<sup>®</sup> products left Defendant's control, there was a  
26 practical, technically feasible and safer alternative design that would have

1 prevented the harm without substantially impairing the reasonably anticipated or  
2 intended function of Defendant's herbicides.

3 83. Defendant's defective design of its Roundup<sup>®</sup> products was willful,  
4 wanton, fraudulent, malicious, and conducted with reckless disregard for the health  
5 and safety of users of the Roundup<sup>®</sup> products, including the Plaintiff herein.

6 84. Therefore, as a result of the unreasonably dangerous condition of its  
7 Roundup<sup>®</sup> products, Defendant is strictly liable to Plaintiff.

8 85. The defects in Defendant's Roundup<sup>®</sup> products were substantial and  
9 contributing factors in causing Plaintiff's grave injuries, and, but for Defendant's  
10 misconduct and omissions, Plaintiff would not have sustained her injuries.

11 86. Defendant's conduct, as described above, was reckless. Defendant  
12 risked the lives of consumers and users of its products, including Plaintiff, with  
13 knowledge of the safety problems associated with Roundup<sup>®</sup> and glyphosate-  
14 containing products, and suppressed this knowledge from the general public.  
15 Defendant made conscious decisions not to redesign, warn or inform the  
16 unsuspecting public. Defendant's reckless conduct warrants an award of punitive  
17 damages.

18 87. As a direct and proximate result of Defendant placing its defective  
19 Roundup<sup>®</sup> products into the stream of commerce, Plaintiff has suffered and  
20 continues to suffer grave injuries, and has endured physical pain and discomfort, as  
21 well as economic hardship, including considerable financial expenses for medical  
22 care and treatment. Plaintiff will continue to incur these expenses in the future.

23 88. WHEREFORE, Plaintiff respectfully requests that this Court enter  
24 judgment in Plaintiff's favor for compensatory and punitive damages, together with  
25 interest, costs herein incurred, attorneys' fees and all such other and further relief as  
26

1 this Court deems just and proper. Plaintiff also demands a jury trial on the issues  
2 contained herein.

3  
4 **CLAIM TWO**

5 **STRICT LIABILITY (FAILURE TO WARN)**

6 89. Plaintiff incorporates by reference each and every allegation set forth  
7 in the preceding paragraphs as if fully stated herein.

8 90. Plaintiff brings this strict liability claim against Defendant for failure  
9 to warn.

10 91. At all times relevant to this litigation, Defendant engaged in the  
11 business of testing, developing, designing, manufacturing, marketing, selling,  
12 distributing, and promoting Roundup<sup>®</sup> products, which are defective and  
13 unreasonably dangerous to consumers, including Plaintiff, because they do not  
14 contain adequate warnings or instructions concerning the dangerous characteristics  
15 of Roundup<sup>®</sup> and specifically, the active ingredient glyphosate. These actions were  
16 under the ultimate control and supervision of Defendant.

17 92. Defendant researched, developed, designed, tested, manufactured,  
18 inspected, labeled, distributed, marketed, promoted, sold, and otherwise released  
19 into the stream of commerce its Roundup<sup>®</sup> products, and in the course of same,  
20 directly advertised or marketed the products to consumers and end users, including  
21 the Plaintiff, Plaintiff's employer, Plaintiff's co-workers, and persons responsible  
22 for consumers (such as employers), and therefore had a duty to warn of the risks  
23 associated with the use of Roundup<sup>®</sup> and glyphosate-containing products.

24 93. At all times relevant to this litigation, Defendant had a duty to properly  
25 test, develop, design, manufacture, inspect, package, label, market, promote, sell,  
26 distribute, maintain supply, provide proper warnings, and take such steps as

1 necessary to ensure that its Roundup<sup>®</sup> products did not cause users and consumers  
2 to suffer from unreasonable and dangerous risks. Defendant had a continuing duty  
3 to warn the Plaintiff of the dangers associated with Roundup<sup>®</sup> use and exposure.  
4 Defendant, as manufacturer, seller, or distributor of chemical herbicides is held to  
5 the knowledge of an expert in the field.

6 94. At the time of manufacture, Defendant could have provided the  
7 warnings or instructions regarding the full and complete risks of Roundup<sup>®</sup> and  
8 glyphosate-containing products because it knew or should have known of the  
9 unreasonable risks of harm associated with the use of and/or exposure to such  
10 products.

11 95. At all times relevant to this litigation, Defendant failed to investigate,  
12 study, test, or promote the safety or to minimize the dangers to users and consumers  
13 of its product and to those who would foreseeably use or be harmed by Defendant's  
14 herbicides, including Plaintiff.

15 96. Despite the fact that Defendant knew or should have known that  
16 Roundup<sup>®</sup> posed a grave risk of harm, it failed to exercise reasonable care to warn  
17 of the dangerous risks associated with use and exposure. The dangerous  
18 propensities of its products and the carcinogenic characteristics of glyphosate, as  
19 described above, were known to Defendant, or scientifically knowable to Defendant  
20 through appropriate research and testing by known methods, at the time it  
21 distributed, supplied or sold the product, and not known to end users and  
22 consumers, such as Plaintiff and the horticultural company who employed her.

23 97. Defendant knew or should have known that its products created  
24 significant risks of serious bodily harm to consumers, as alleged herein, and  
25 Defendant failed to adequately warn consumers and reasonably foreseeable users of  
26 the risks of exposure to its products. Defendant has wrongfully concealed

1 information concerning the dangerous nature of Roundup<sup>®</sup> and its active ingredient  
2 glyphosate, and further made false and/or misleading statements concerning the  
3 safety of Roundup<sup>®</sup> and glyphosate.

4 98. At all times relevant to this litigation, Defendant's Roundup<sup>®</sup> products  
5 reached the intended consumers, handlers, and users or other persons coming into  
6 contact with these products in New York and throughout the United States,  
7 including Plaintiff, without substantial change in their condition as designed,  
8 manufactured, sold, distributed, labeled, and marketed by Defendant.

9 99. Plaintiff was exposed to Defendant's Roundup<sup>®</sup> products in the course  
10 of her employment as a horticultural worker, as described above, without  
11 knowledge of their dangerous characteristics.

12 100. At all times relevant to this litigation, Plaintiff used and/or was  
13 exposed to the use of Defendant's Roundup<sup>®</sup> products in their intended or  
14 reasonably foreseeable manner without knowledge of their dangerous  
15 characteristics.

16 101. Plaintiff could not have reasonably discovered the defects and risks  
17 associated with Roundup<sup>®</sup> or glyphosate-containing products prior to or at the time  
18 of Plaintiff's exposure. Plaintiff relied upon the skill, superior knowledge, and  
19 judgment of Defendant.

20 102. Defendant knew or should have known that the minimal warnings  
21 disseminated with its Roundup<sup>®</sup> products were inadequate, but they failed to  
22 communicate adequate information on the dangers and safe use/exposure and failed  
23 to communicate warnings and instructions that were appropriate and adequate to  
24 render the products safe for their ordinary, intended and reasonably foreseeable  
25 uses, including agricultural and horticultural applications.  
26



1           103. The information that Defendant did provide or communicate failed to  
2 contain relevant warnings, hazards, and precautions that would have enabled  
3 horticultural workers such as Plaintiff to utilize the products safely and with  
4 adequate protection. Instead, Defendant disseminated information that was  
5 inaccurate, false, and misleading and which failed to communicate accurately or  
6 adequately the comparative severity, duration, and extent of the risk of injuries with  
7 use of and/or exposure to Roundup<sup>®</sup> and glyphosate; continued to aggressively  
8 promote the efficacy of its products, even after it knew or should have known of the  
9 unreasonable risks from use or exposure; and concealed, downplayed, or otherwise  
10 suppressed, through aggressive marketing and promotion, any information or  
11 research about the risks and dangers of exposure to Roundup<sup>®</sup> and glyphosate.

12           104. To this day, Defendant has failed to adequately and accurately warn of  
13 the true risks of Plaintiff's injuries associated with the use of and exposure to  
14 Roundup<sup>®</sup> and its active ingredient glyphosate, a probable carcinogen.

15           105. As a result of their inadequate warnings, Defendant's Roundup<sup>®</sup>  
16 products were defective and unreasonably dangerous when they left the possession  
17 and/or control of Defendant, were distributed by Defendant, and used by Plaintiff in  
18 the course of her employment as a horticultural worker.

19           106. Defendant is liable to Plaintiff for injuries caused by its negligent or  
20 willful failure, as described above, to provide adequate warnings or other clinically  
21 relevant information and data regarding the appropriate use of its products and the  
22 risks associated with the use of or exposure to Roundup<sup>®</sup> and glyphosate.

23           107. The defects in Defendant's Roundup<sup>®</sup> products were substantial and  
24 contributing factors in causing Plaintiff's injuries, and, but for Defendant's  
25 misconduct and omissions, Plaintiff would not have sustained their injuries.

26

108. Had Defendant provided adequate warnings and instructions and properly disclosed and disseminated the risks associated with its Roundup® products, Plaintiff could have avoided the risk of developing injuries as alleged herein and the company who employed Plaintiff could have obtained alternative herbicides.

109. As a direct and proximate result of Defendant placing its defective Roundup<sup>®</sup> products into the stream of commerce, Plaintiff has suffered and continues to suffer severe injuries, and has endured physical pain and discomfort, as well as economic hardship, including considerable financial expenses for medical care and treatment. Plaintiff will continue to incur these expenses in the future.

110. WHEREFORE, Plaintiff respectfully requests that this Court enter judgment in Plaintiff's favor for compensatory and punitive damages, together with interest, costs herein incurred, attorneys' fees and all such other and further relief as this Court deems just and proper. Plaintiff also demands a jury trial on the issues contained herein.

## CLAIM THREE

### NEGLIGENCE

111. Plaintiff incorporates by reference each and every allegation set forth in the preceding paragraphs as if fully stated herein.

112. Defendant, directly or indirectly, caused Roundup<sup>®</sup> products to be sold, distributed, packaged, labeled, marketed, promoted, and/or used by Plaintiff.

113. At all times relevant to this litigation, Defendant had a duty to exercise reasonable care in the design, research, manufacture, marketing, advertisement, supply, promotion, packaging, sale, and distribution of its Roundup<sup>®</sup> products, including the duty to take all reasonable steps necessary to manufacture, promote,

1 and/or sell a product that was not unreasonably dangerous to consumers and users  
2 of the product.

3 114. At all times relevant to this litigation, Defendant had a duty to exercise  
4 reasonable care in the marketing, advertisement, and sale of the Roundup<sup>®</sup>  
5 products. Defendant's duty of care owed to consumers and the general public  
6 included providing accurate, true, and correct information concerning the risks of  
7 using Roundup<sup>®</sup> and appropriate, complete, and accurate warnings concerning the  
8 potential adverse effects of exposure to Roundup<sup>®</sup>, and, in particular, its active  
9 ingredient glyphosate.

10 115. At all times relevant to this litigation, Defendant knew or, in the  
11 exercise of reasonable care, should have known of the hazards and dangers of  
12 Roundup<sup>®</sup> and specifically, the carcinogenic properties of the chemical glyphosate.

13 116. Accordingly, at all times relevant to this litigation, Defendant knew or,  
14 in the exercise of reasonable care, should have known that use of or exposure to its  
15 Roundup<sup>®</sup> products could cause or be associated with Plaintiff's injuries and thus  
16 created a dangerous and unreasonable risk of injury to the users of these products,  
17 including Plaintiff.

18 117. Defendant also knew or, in the exercise of reasonable care, should  
19 have known that users and consumers of Roundup<sup>®</sup> were unaware of the risks and  
20 the magnitude of the risks associated with use of and/or exposure to Roundup<sup>®</sup> and  
21 glyphosate-containing products.

22 118. As such, Defendant breached its duty of reasonable care and failed to  
23 exercise ordinary care in the design, research, development, manufacture, testing,  
24 marketing, supply, promotion, advertisement, packaging, sale, and distribution of  
25 its Roundup<sup>®</sup> products, in that Defendant manufactured and produced defective  
26 herbicides containing the chemical glyphosate, knew or had reason to know of the

1 defects inherent in its products, knew or had reason to know that a user's or  
2 consumer's exposure to the products created a significant risk of harm and  
3 unreasonably dangerous side effects, and failed to prevent or adequately warn of  
4 these risks and injuries.

5 119. Despite its ability and means to investigate, study, and test its products  
6 and to provide adequate warnings, Defendant has failed to do so. Indeed,  
7 Defendant has wrongfully concealed information and has further made false and/or  
8 misleading statements concerning the safety and/or exposure to Roundup<sup>®</sup> and  
9 glyphosate.

10 120. Defendant's negligence included:

11 a. Manufacturing, producing, promoting, formulating,  
12 creating, developing, designing, selling, and/or distributing its  
13 Roundup<sup>®</sup> products without thorough and adequate pre- and post-  
14 market testing;

15 b. Manufacturing, producing, promoting, formulating,  
16 creating, developing, designing, selling, and/or distributing Roundup<sup>®</sup>  
17 while negligently and/or intentionally concealing and failing to  
18 disclose the results of trials, tests, and studies of exposure to  
19 glyphosate, and, consequently, the risk of serious harm associated with  
20 human use of and exposure to Roundup<sup>®</sup>;

21 c. Failing to undertake sufficient studies and conduct  
22 necessary tests to determine whether or not Roundup<sup>®</sup> products and  
23 glyphosate-containing products were safe for their intended use in  
24 agriculture and horticulture;

25 d. Failing to use reasonable and prudent care in the design,  
26 research, manufacture, and development of Roundup<sup>®</sup> products so as

1 to avoid the risk of serious harm associated with the prevalent use of  
2 Roundup<sup>®</sup>/glyphosate as an herbicide;

3 e. Failing to design and manufacture Roundup<sup>®</sup> products so  
4 as to ensure they were at least as safe and effective as other herbicides  
5 on the market;

6 f. Failing to provide adequate instructions, guidelines, and  
7 safety precautions to those persons who Defendant could reasonably  
8 foresee would use and be exposed to its Roundup<sup>®</sup> products;

9 g. Failing to disclose to Plaintiffs, users/consumers, and the  
10 general public that use of and exposure to Roundup<sup>®</sup> presented severe  
11 risks of cancer and other grave illnesses;

12 h. Failing to warn Plaintiff, consumers, and the general  
13 public that the product's risk of harm was unreasonable and that there  
14 were safer and effective alternative herbicides available to Plaintiff and  
15 other consumers;

16 i. Systematically suppressing or downplaying contrary  
17 evidence about the risks, incidence, and prevalence of the side effects  
18 of Roundup<sup>®</sup> and glyphosate-containing products;

19 j. Representing that its Roundup<sup>®</sup> products were safe for  
20 their intended use when, in fact, Defendant knew or should have  
21 known that the products were not safe for their intended purpose;

22 k. Declining to make or propose any changes to Roundup<sup>®</sup>  
23 products' labeling or other promotional materials that would alert the  
24 consumers and the general public of the risks of Roundup<sup>®</sup> and  
25 glyphosate;  
26

1                   l.     Advertising, marketing, and recommending the use of the  
2     Roundup<sup>®</sup> products, while concealing and failing to disclose or warn  
3     of the dangers known by Defendant to be associated with or caused by  
4     the use of or exposure to Roundup<sup>®</sup> and glyphosate;

5                   m.    Continuing to disseminate information to its consumers,  
6     which indicate or imply that Defendant's Roundup<sup>®</sup> products are not  
7     unsafe for use in the agricultural and horticultural industries; and

8                   n.    Continuing the manufacture and sale of its products with  
9     the knowledge that the products were unreasonably unsafe and  
10    dangerous.

11           121. Defendant knew and/or should have known that it was foreseeable that  
12 consumers such as Plaintiff would suffer injuries as a result of Defendant's failure  
13 to exercise ordinary care in the manufacturing, marketing, labeling, distribution,  
14 and sale of Roundup<sup>®</sup>.

15           122. Plaintiff did not know the nature and extent of the injuries that could  
16 result from the intended use of and/or exposure to Roundup<sup>®</sup> or its active ingredient  
17 glyphosate.

18           123. Defendant's negligence was the proximate cause of the injuries, harm,  
19 and economic losses that Plaintiff suffered, and will continue to suffer, as described  
20 herein.

21           124. Defendant's conduct, as described above, was reckless. Defendant  
22 regularly risks the lives of consumers and users of their products, including  
23 Plaintiff, with full knowledge of the dangers of its products. Defendant has made  
24 conscious decisions not to redesign, re-label, warn, or inform the unsuspecting  
25 public, including Plaintiffs. Defendant's reckless conduct therefore warrants an  
26 award of punitive damages.

125. As a proximate result of Defendant's wrongful acts and omissions in placing its defective Roundup® products into the stream of commerce without adequate warnings of the hazardous and carcinogenic nature of glyphosate, Plaintiff has suffered and continues to suffer severe and permanent physical and emotional injuries. Plaintiff has endured pain and suffering, has suffered economic losses (including significant expenses for medical care and treatment) and will continue to incur these expenses in the future.

126. WHEREFORE, Plaintiff respectfully requests that this Court enter judgment in Plaintiff's favor for compensatory and punitive damages, together with interest, costs herein incurred, attorneys' fees and all such other and further relief as this Court deems just and proper. Plaintiff also demands a jury trial on the issues contained herein.

## CLAIM FOUR

## BREACH OF IMPLIED WARRANTIES

127. Plaintiff incorporates by reference each and every allegation set forth in the preceding paragraphs as if fully stated herein.

128. At all times relevant to this litigation, Defendant engaged in the business of testing, developing, designing, manufacturing, marketing, selling, distributing, and promoting its Roundup<sup>®</sup> products, which are defective and unreasonably dangerous to consumers, including Plaintiff, thereby placing Roundup<sup>®</sup> products into the stream of commerce. These actions were under the ultimate control and supervision of Defendant.

129. Before the time that Plaintiff was exposed to the use of the aforementioned Roundup<sup>®</sup> products, Defendant impliedly warranted to its consumers—including Plaintiff's employer—that its Roundup<sup>®</sup> products were of



1 merchantable quality and safe and fit for the use for which they were intended;  
2 specifically, as horticultural herbicides.

3 130. Defendant, however, failed to disclose that Roundup<sup>®</sup> has dangerous  
4 propensities when used as intended and that the use of and/or exposure to  
5 Roundup<sup>®</sup> and glyphosate-containing products carries an increased risk of  
6 developing severe injuries, including Plaintiff's injuries.

7 131. Upon information and belief, Plaintiff's employers reasonably relied  
8 upon the skill, superior knowledge and judgment of Defendant and upon its implied  
9 warranties that the Roundup<sup>®</sup> products were of merchantable quality and fit for  
10 their intended purpose or use.

11 132. Upon information and belief, Plaintiff's employer was at all relevant  
12 times in privity with Defendant.

13 133. Plaintiff is the intended third-party beneficiaries of implied warranties  
14 made by Defendant to the purchasers of its horticultural herbicides, including the  
15 company that employed Plaintiff, and as such Plaintiff is entitled to assert this  
16 claim.

17 134. The Roundup<sup>®</sup> products were expected to reach and did in fact reach  
18 consumers and users, including Plaintiff, without substantial change in the  
19 condition in which they were manufactured and sold by Defendant.

20 135. At all times relevant to this litigation, Defendant was aware that  
21 consumers and users of its products, including Plaintiff, would use Roundup<sup>®</sup>  
22 products as marketed by Defendant, which is to say that Plaintiff was a foreseeable  
23 user of Roundup<sup>®</sup>.

24 136. Defendant intended that its Roundup<sup>®</sup> products be used in the manner  
25 in which Plaintiff in fact used them and Defendant impliedly warranted each  
26

1 product to be of merchantable quality, safe, and fit for this use, despite the fact that  
2 Roundup<sup>®</sup> was not adequately tested or researched.

3 137. In reliance upon Defendant's implied warranty, Plaintiff used  
4 Roundup<sup>®</sup> as instructed and labeled and in the foreseeable manner intended,  
5 recommended, promoted and marketed by Defendant.

6 138. Neither Plaintiff nor Plaintiff's employer could have reasonably  
7 discovered or known of the risks of serious injury associated with Roundup<sup>®</sup> or  
8 glyphosate.

9 139. Defendant breached its implied warranty to Plaintiff in that its  
10 Roundup<sup>®</sup> products were not of merchantable quality, safe, or fit for their intended  
11 use, or adequately tested. Roundup<sup>®</sup> has dangerous propensities when used as  
12 intended and can cause serious injuries, including those injuries complained of  
13 herein.

14 140. The harm caused by Defendant's Roundup<sup>®</sup> products far outweighed  
15 their benefit, rendering the products more dangerous than an ordinary consumer or  
16 user would expect and more dangerous than alternative products.

17 141. As a direct and proximate result of Defendant's wrongful acts and  
18 omissions Plaintiff has suffered severe and permanent physical and emotional  
19 injuries. Plaintiff has endured pain and suffering, have suffered economic loss  
20 (including significant expenses for medical care and treatment) and will continue to  
21 incur these expenses in the future.

22 142. WHEREFORE, Plaintiff respectfully requests that this Court enter  
23 judgment in Plaintiff's favor for compensatory and punitive damages, together with  
24 interest, costs herein incurred, attorneys' fees, and all such other and further relief  
25 as this Court deems just and proper. Plaintiff also demands a jury trial on the  
26 issues contained herein.



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